

<u>THE UNITED STATES PATENT AND TRADEMARK OFFICE</u>

Applicant(s):

Satoru KURAMOTO et al.

Serial No.:

10/660,313

Group Art Unit:

To Be Assigned

Filed:

September 10, 2003

Examiner:

To Be Assigned

For:

VACUUM PUMP

Mail Stop DD Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

CERTIFICATE OF MAILING (37 C.F.R. 1.8a)

Sirs:

I hereby certify that the attached (1) Information Disclosure Statement, (2) PTO Form 1449, (3) Five references (4) Return receipt postcard, and this Certificate of Mailing are being deposited with the United States Postal Service on date shown below with sufficient postage as first-class mail in an envelope addressed to: Mail Stop DD, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Respectfully submitted,

Dated: October 15, 2003

Steven F. Meyer

Registration No. 35,613

Mailing Address:

MORGAN & FINNEGAN, L.L.P 345 Park Avenue New York, New York 10154 Tel. No. (212) 758-4800 Facsimile No. (212) 751-6849

Docket No. 5000-5122



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INFORMATION DISCLOSURE STATEMENT

Mail Stop DD Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement is filed in accordance with 37 C.F.R. §§1.56, 1.97 and 1.98. The items listed on Form PTO-1449, a copy of which is enclosed, are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items and to independently ascertain their teaching.

 \boxtimes 1. For each of the following items listed on the enclosed copy of Form PTO-1449 that is not in the English language, an English language translation of that item or a portion thereof or a concise explanation of the relevance of that item is enclosed: 2. For each of the following items listed on the enclosed copy of Form PTO-1449 that is not in the English language, a concise explanation of the relevance of that item is incorporated in the specification of the above-identified application. 3. Any copy of the items listed on the enclosed copy of Form PTO-1449 that is not enclosed with this Information Disclosure Statement was previously cited by or submitted to the Patent and Trademark Office on in connection with the above identified application. No fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with: Ø 37 C.F.R. §1.97(b)(1), within three months of the filing date of a national application other than a CPA; or

		Ш	as set forth in §1.491 in an international application; or	
		\boxtimes	37 C.F.R. §1.97(b)(3), before the mailing date of a first Office action on the merits.	
			37 C.F.R. §1.97(b)(4) before the mailing date of a first office action after the filing of an RCE under §1.114.	
5.		being tabove been n	is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is filed in compliance with 37 C.F.R. §1.97(c), after the period specified in paragraph 4 but before the mailing date of a final action or a Notice of Allowance (where there has o prior final action), and is accompanied by one of the certifications pursuant to 37 §1.97(e) set forth in paragraph 9 below.	
6.		A fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(c), after the period specified in paragraph 4 above but before the mailing date of a final action or a notice of allowance (where there has been no prior final action):		
			A check in the amount of \$180.00 is enclosed in payment of the fee.	
			Charge the fee to Deposit Account No. <u>13-4500</u> , Order No A DUPLICATE COPY OF THIS SHEET IS ATTACHED.	
7.		A fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(d), after the mailing date of a final action or a notice of allowance, whichever comes first, but before payment of the issue fee, and is accompanied by:		
		a. or	ne of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below; and	
		b. th	e fee due under 37 C.F.R. §1.17(p) which is paid as set forth in paragraph 11 below.	
8.		A fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with:		
		a. 🔲	37 C.F.R. §1.313(b)(3) or §1.313(c)(1), after the issue fee has been paid and information cited in this Information Disclosure Statement may render at least one claim unpatentable and is accompanied by the attached Petition To Withdraw Application From Issue and fee pursuant to 37 C.F.R. §1.17(h);	
		b. 🗌	37 C.F.R. §1.313(c)(2) or §1.313(c)(3), after the issue fee has been paid and information cited in this Information Disclosure Statement is to be considered in a Request for Continued Examination (RCE) or a Continuation application upon abandonment of the instant application and is accompanied by the attached Petition To Withdraw Application From Issue and fee pursuant to 37 C.F.R. §1.17(h).	
		c. 🗌	The fees due under 37 C.F.R. §§1.17(h) and 1.17(p) are paid as set forth in paragraph 10 below.	

9.		I hereby certify that each item of information of Statement was first cited in a communication of foreign application not more than three months Disclosure Statement.	rom a foreign patent office in a counterpart				
		I hereby certify that no item of information in herewith was cited in a communication from a application or, to my knowledge after making individual designated in §1.56(c) more than the Disclosure Statement.	foreign patent office in a counterpart foreign				
10. This document is accompanied by a Search Report Communication value a corresponding PCT or Foreign counterpart application dated							
11.		A check in the amount of $$180.00$ is enclosed 1.17(p).	in payment of the fee due under 37 C.F.R. §				
		Charge the \$ _ fee due under 37 C.F.R. § 1.17 . A DUPLICATE COPY OF THIS SHEET IS	(p) to Deposit Account No. <u>13-4500</u> , Order No. ATTACHED.				
	\boxtimes	The Commissioner is hereby authorized to charge any additional fees which may be requifor this Information Disclosure Statement, or credit any overpayment to Deposit Account 13-4500, Order No. 5000-5122. A DUPLICATE COPY OF THIS SHEET IS ATTACHE					
		I	Respectfully submitted,				
Date	ed: Oc		Steven F. Moes Reg. No. 35,613				
Mai	ling A	ddress:					
MORGAN & FINNEGAN, L.L.P. 345 Park Avenue New York, New York 10154							

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9.		I hereby certify that each item of information Statement was first cited in a communication foreign application not more than three month Disclosure Statement.	from a foreign patent office in a counterpart			
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10.		This document is accompanied by a Searce a corresponding PCT or Foreign count	h Report Communication which was cited in erpart application dated			
11.		A check in the amount of \$180.00 is enclosed 1.17(p).	in payment of the fee due under 37 C.F.R. §			
		Charge the \$ _ fee due under 37 C.F.R. § 1.1 . A DUPLICATE COPY OF THIS SHEET IS	7(p) to Deposit Account No. <u>13-4500,</u> Order No. S ATTACHED.			
	\boxtimes	The Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. <u>13-4500</u> , Order No. <u>5000-5122</u> . A DUPLICATE COPY OF THIS SHEET IS ATTACHED.				
			Respectfully submitted,			
Dat	ed: Oo		Steven F. Moes Steven F. Meyer Reg. No. 35,613			
Mai	iling A	ddress:				
345 Nev (21:	Park A v York 2) 758-	N & FINNEGAN, L.L.P. Avenue k, New York 10154 -4800 Telephone -6849 Telecopier				

Sheet 1 of 1 ATTY. DOCKET NO. SERIAL NO. FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE 10/660,313 5000-5122 PATENT AND TRADEMARK OFFICE APPLICANT INFORMATION DISCLOSURE CITATION Satoru KURAMOTO et al. FILING DATE GROUP ART UNIT (Use several sheets if necessary) September 10, 2003 To Be Assigned U.S. PATENT DOCUMENTS EXAMINER FILING DATE INITIAL DOCUMENT NUMBER DATE NAME CLASS SUBCLASS IF APPROPRIATE FOREIGN PATENT DOCUMENTS TRANSLATION CLASS SUBCLASS DOCUMENT NUMBER DATE COUNTRY YES NO 1. 5-113180 5/7/93 F04C Japan 18/00 X (Abstract and Translated Excerpt) 2. 02-294574 12/5/90 F04B 37/16 X (Abstract) Japan 3. 2001-207984 8/3/01 F04C 25/02 Japan X (Abstract) 4. 2002-174174 6/21/02 Japan F04B 37/16 X (Abstract) 5. 2002-174175 6/21/02 Japan F04B 37/16 X (Abstract) OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Papers, Etc.)

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

DATE CONSIDERED

M&F REV. 06/29/95

EXAMINER